

# Commission Rebate Disclosure Form



880 Ouellette Ave., 9th Floor  
Windsor, ON N9A 1C7  
(519) 265-8999 Fax (519) 256 -9730

Title	Last Name	First Name & Initials
Last Name of Joint Applicant or Trustee		
Street Address		Province
City – Town		Postal Code
Tel. Residence		Tel. Business
E-Mail		

To be submitted upon determination of the actual DSC charges & commissions paid.  
**Commission Rebate Definition:**  
 Commission rebating is a practice whereby the redemption fees (DSC) are reimbursed to the client in additional units of the new fund purchased. These units are funded using a portion of the commission on the new sale otherwise payable to the Dealer.  
 Commission rebates must be exercised with the client's best interest in mind and must satisfy KYC and suitability requirements.  
 For more information, see section 7.1 of CSA National Instrument 81-105 and its companion policy.

**REDEMPTION OF CURRENT INVESTMENTS:** The DSC redemption charge(s) applicable on the following redemptions(s):

FUND CODE	FUND NAME	FUND ACCOUNT#	APPROXIMATE MARKET VALUE	DSC CHARGE Percentage	DSC CHARGE IN \$

**PURCHASE OF NEW FUND INVESTMENT (S):** Plan #:

FUND CODE	FUND NAME	FUND ACCOUNT#	APPROXIMATE PURCHASE AMOUNT	ACTUAL REBATE In Dollars	H.O. USE Only

**TAX IMPLICATIONS:**  
 In non-registered accounts, commission rebates may be fully taxable in the year received. For example, at a 30% marginal tax rate, \$300 in taxes payable will result from each \$1,000 of rebated commission. At a marginal tax rate of 40%, \$1,000 of rebated commission will result in \$400 in taxes payable. Filing an election with your tax return may allow you to defer current taxation of commission rebates. Please see your tax advisor for personalized advice.

**ADDITIONAL DISCLOSURES:**  
 I/We confirm that I/we have discussed and agreed with the suitability of my/our new investment and that I/we am/are aware of the applicable tax consequences, if any, of this re-investment (not applicable on my/our new investment as per the attached purchase order and as described in the simplified prospectus for which I/we acknowledge receipt.  
 I/We hereby expressly consent to and authorize to process on my/our behalf, the redemption of the current fund investments and the purchase of the new fund investments.

Dated at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_

Applicant \_\_\_\_\_ Co-Applicant \_\_\_\_\_

Representative Signature \_\_\_\_\_ Print Name \_\_\_\_\_

**DEALER NUMBER 9823 / \_\_\_\_\_ Branch Manager Approval : \_\_\_\_\_ Date: \_\_\_\_\_**

ACCEPTED AT HEAD OFFICE – Date: \_\_\_\_\_ Compliance \_\_\_\_\_